

FORM PTO 1449 (modified)
U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: May 21, 1999

ATTY DOCKET NO.
030523/0136

SERIAL NO.
09/232,488

APPLICANT
Garner *et al.*

FILING DATE
January 15, 1999

GROUP
1632

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|--|--------------------|------|------|-------|----------|-------------------------------|
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES/NO/ OR ABSTRACT |
|--|--|--------------------|------|---------|-------|----------|---------------------------------------|
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | | | |
|--|--|---|--------|---|
| | | 1 | /D.C./ | Garner's Preliminary Motion No. 1 |
| | | 2 | | Declaration of Alan Colman |
| | | 3 | | Declaration of David Toman |
| | | 4 | | Declaration of Lothar Hennighausen |
| | | 5 | | Declaration of Jeffrey Rosen |
| | | 6 | | Yarus <i>et al.</i> , <i>Genetic Engineering</i> , 18: 57-81 (1996) |
| | | 7 | | Reddy <i>et al.</i> , <i>Animal Biotechnology</i> 2: 15-29 (1991) |
| | | 8 | ↓ | Tojo <i>et al.</i> , <i>J. Reprod. Develop.</i> 39: 145-155 (1993) |
| | | | | |
| | | | | |
| | | | | |

EXAMINER /Deborah Crouch/

DATE CONSIDERED

08/05/2009

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)
U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

ATTY DOCKET NO.
030523/0136

SERIAL NO.
09/232,488

APPLICANT
Garner *et al.*

FILING DATE
January 15, 1999

GROUP

Date Submitted to PTO: May 21, 1999

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|--------------------|------|------|-------|----------|-------------------------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES/NO/ OR ABSTRACT |
|--------------------|------|---------|-------|----------|---------------------------------------|
| | | | | | |
| | | | | | |
| | | | | | |

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | | |
|--|----|--------|--|
| | 9 | /D.C./ | Farrell <i>et al.</i> , <i>Biochemistry</i> , 30: 9414-9420 (1991) |
| | 16 | | Velander's Preliminary Motion No. 1 |
| | 17 | | Garner's Opposition to Velander's Preliminary Motion No. 1 |
| | 12 | | Prunkard <i>et al.</i> , <i>Nature Biotechnology</i> , 14:867-871, 870 (1996) |
| | 13 | | Hurtley <i>et al.</i> , <i>Annu. Rev. Cell Biol.</i> 5: 277-307 (1989) |
| | 14 | | Prunkard <i>et al.</i> , (Abstract) <i>J. Cell Biochem., Suppl. 17C</i> (1993) |
| | 16 | | Drohan <i>et al.</i> , <i>Transgenic Research</i> , 3: 355-364 (1994) |
| | 16 | | Velander <i>et al.</i> , <i>PNAS (USA)</i> , 89: 12003-12007 (1992) |
| | 17 | | Pittius <i>et al.</i> , <i>PNAS USA</i> , 85: 5874 (1988) |
| | 18 | | Simons <i>et al.</i> , <i>Biotechnology</i> , 6:179 (1988) |
| | 19 | | Clark <i>et al.</i> , <i>Biotechnology</i> , 7:487 (1989) |
| | | | |

EXAMINER /Deborah Crouch/

DATE CONSIDERED 08/05/2009

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)
 U.S. DEPARTMENT OF COMMERCE
 PATENT AND TRADEMARK OFFICE
 LIST OF REFERENCES CITED BY APPLICANT(S)
 (Use several sheets if necessary)

ATTY DOCKET NO.
 030523/0136

SERIAL NO.
 09/232,488

APPLICANT
 Garner *et al.*

FILING DATE
 January 15, 1999

GROUP

Date Submitted to PTO: May 21, 1999


U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|--------------------|---------|------|-------|----------|-------------------------------|
| /D.C./ 8/4/09 | 20 US 5,304,489 | 04-1994 | | | | |
| /D.C./ 8/4/09 | 21 US 5,366,894 | 11-1994 | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES/NO/ OR ABSTRACT |
|------------------|--------------------|---------|---------|-------|----------|---------------------------------------|
| /D.C./ 8/4/09 | 22 WO 90/05188 | 05-1990 | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|---|----|---|
| /D.C./  | 23 | Garner's Motion to Correct Inventorship. |
| | 24 | Garner's Preliminary Motion No. 2 |
| | 25 | Hennighausen, <i>Protein Expression and Publication</i> 1: 3-8 (1990) |
| | 26 | Garner Exhibit No. 28- Typed Notes |
| | 27 | Masoud <i>et al.</i> , <i>Ann. Zootech.</i> 45: -9 (1996) |
| | 28 | Garner's Reply in Support of Garner's Preliminary Motion 1 |
| | 29 | Second Declaration of Alan Colman |
| | 30 | Declaration of Richard F. Lathe |

EXAMINER /Deborah Crouch/

DATE CONSIDERED 08/05/2009

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.